

# Formal Languages and Linguistics

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# Overview

Formal Languages

Regular Languages

Formal Grammars

Examples

Definition

Language classes

Formal complexity of Natural Languages

# Example I

$$\begin{array}{lcl} S & \rightarrow & AB \\ A & \rightarrow & aA \\ & | & b \\ B & \rightarrow & bBc \\ & | & \varepsilon \end{array}$$

- ▶ Rewriting system
- ▶ Auxiliary vocabulary ( $N$  for non-terminal)
- ▶ Start symbol (engendered language)
- ▶ Multiple derivations
- ▶ Syntactic tree

$$S \rightarrow AB$$

$$A \rightarrow aA$$

$$A \rightarrow b$$

$$B \rightarrow bBc$$

$\mid \varepsilon$

$$A \rightarrow a^n b$$

$$B \rightarrow b^m c^m$$

$$S \rightarrow AB$$

$$S \rightarrow AB \xrightarrow{*} b$$

$$S \xrightarrow{*} ab$$

$$\alpha = \{b, ab, aab, \dots\}$$

$$\alpha = \{a^n b b^m c^m \mid n, m \in \mathbb{N}\}$$

## Example II

$$\begin{array}{l} E \rightarrow E + E \\ | E \times E \\ | (E) \\ | 0 | 1 | 2 \dots 8 | 9 \end{array}$$

- ▶ Syntactic ambiguity
- ▶ Semantic interpretation

$$E \rightarrow E + E$$

$$E \rightarrow E \times E$$

$$E \rightarrow (E)$$

$$E \rightarrow 0 | 1 | 2 | 3 \dots 9$$

$$\Sigma = \{0, 1, 2, \dots, +, \times, (, )\}$$

$$N = \{E\}$$

~~$$2 \times 3 \quad (((3)))$$~~

$$2 \times 3 + 1$$

$$E \rightarrow E \times E \rightarrow E \times E + E \rightarrow 2 \times E + E \rightarrow 2 \times 3 + E \rightarrow 2 \times 3 + 1$$

$$E \rightarrow E \times E \rightarrow E \times E + E \rightarrow E \times E + 1 \rightarrow 2 \times E + 1 \rightarrow 2 \times 3 + 1$$

left-derivation

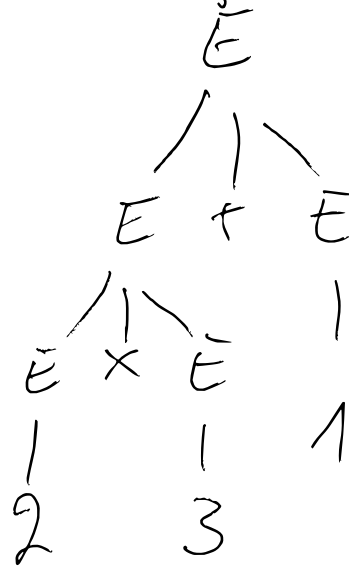
$$E \rightarrow E \times E \rightarrow 2 \times E \rightarrow 2 \times E + E \rightarrow 2 \times 3 + E \rightarrow 2 \times 3 + 1$$

$$E \rightarrow E + E \rightarrow E \times E + E \rightarrow 2 \times E + E \rightarrow 2 \times 3 + E \rightarrow 2 \times 3 + 1$$

$$E \rightarrow E \times E \rightarrow 2 \times E \rightarrow 2 \times E + E \rightarrow 2 \times 3 + E \rightarrow 2 \times 3 + 1$$

$$E \rightarrow E + E \rightarrow E \times E + E \rightarrow 2 \times E + E \rightarrow 2 \times 3 + E \rightarrow 2 \times 3 + 1$$

$$2 + 7 \times (3 + 1)$$



$$E \rightarrow (E + E)$$

$$E \rightarrow (E \times E)$$

$$3 + 7 \times 2$$

$$E \rightarrow 0 | 1 \dots 9$$

ETF

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T \times F \mid F$$

$$F \rightarrow (E)$$

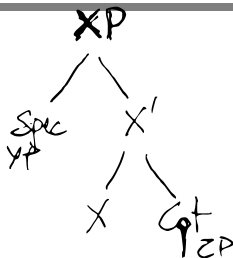
$$\mid 0 | 1 \dots 9$$



## Example III

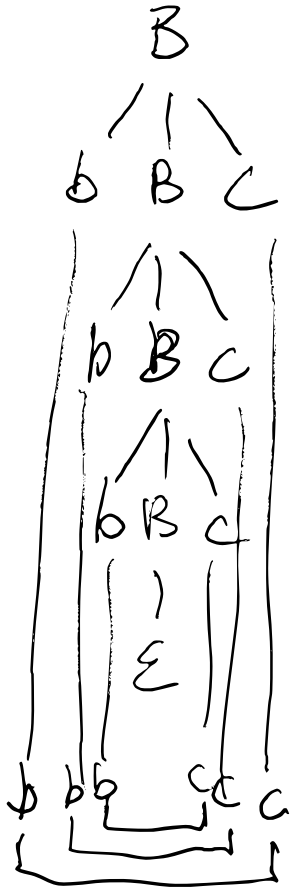
<i>NP</i>	→	<i>Det N'</i>
<i>N'</i>	→	<i>AdjP N'</i>
<i>N'</i>	→	<i>N</i>
<i>N'</i>	→	<i>N Cpt</i>
<i>AdjP</i>	→	<i>Adj AdjP</i>
<i>AdjP</i>	→	<i>Adj</i>
<i>Cpt</i>	→	<i>P NP</i>
<i>Det</i>	→	the   my
<i>N</i>	→	cat   friend
<i>Adj</i>	→	large   fierce
<i>Prep</i>	→	of   to

X : U



- ▶ X-bar theory
- ▶ Recursive rules
- ▶ Center-embedding

the fierce friend of my cat



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## Formal grammar

$$S \rightarrow aSb$$

$$| \epsilon$$

$$S \rightarrow S$$

$$BB \rightarrow AB$$

$$S \rightarrow abc$$

$$aSa \rightarrow aBB$$

$$adc \rightarrow B$$

Def. 12 ((Formal) Grammar)

A **formal grammar** is defined by  $\langle \Sigma, N, S, P \rangle$  where

- ▶  $\Sigma$  is an alphabet
- ▶  $N$  is a disjoint alphabet (non-terminal vocabulary)
- ▶  $S \in N$  is a distinguished element of  $N$ , called the *axiom*
- ▶  $P$  is a set of « *production rules* », namely a subset of the cartesian product  $(\Sigma \cup N)^* N (\Sigma \cup N)^* \times (\Sigma \cup N)^*$ .

$$(BB, AB)$$

# Immediate Derivation

Def. 13 (Immediate derivation)

Let  $\mathcal{G} = \langle \Sigma, N, S, P \rangle$  a grammar,

$r \in P$  a production rule, such that  $r : A \rightarrow u$  with  $u \in (\Sigma \cup N)^*$ ;

$f, g \in (\Sigma \cup N)^*$  two “(proto-)words”,

- $f$  derives into  $g$  (immediate derivation) **with the rule  $r$**   
(noted  $f \xrightarrow{r} g$ ) iff  
 $\exists v, w$  s.t.  $f = vAw$  and  $g = vuw$
- $f$  derives into  $g$  (immediate derivation) **in the grammar  $\mathcal{G}$**   
(noted  $f \xrightarrow{\mathcal{G}} g$ ) iff  
 $\exists r \in P$  s.t.  $f \xrightarrow{r} g$ .

# Derivation

Def. 14 (Derivation)

$f \xrightarrow{\mathcal{G}^*} g$  if  $f = g$  or

$\exists f_0, f_1, f_2, \dots, f_n$  s.t.

$$f_0 = f$$

$$f_n = g$$

$$\forall i \in [1, n] : f_{i-1} \xrightarrow{\mathcal{G}} f_i$$

## Engendered language

Def. 15 (Language engendered by a word)

Let  $f \in (\Sigma \cup N)^*$ .

$$L_G(f) = \{g \in \Sigma^* / f \xrightarrow{G^*} g\}$$

Def. 16 (Language engendered by a grammar)

The *language engendered by a grammar*  $G$  is the set of words of  $\Sigma^*$  derived from the **axiom**.

$$L_G = L_G(S)$$

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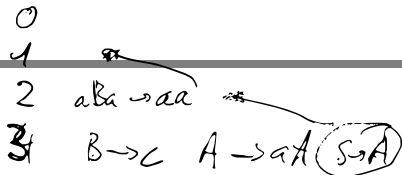
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## Principle



Define language families on the basis of properties of the grammars that generate them :

1. Four classes are defined, they are included one in another
2. A language is of type  $k$  if it **can** be recognized by a type  $k$  grammar (and thus, by definition, by a type  $k - 1$  grammar) ; and **cannot** be recognized by a grammar of type  $k + 1$ .



Chomsky's hierarchy

Schützenberger

0	$aSb \rightarrow c$
1	$aSb \rightarrow acSb$
2	$S \rightarrow aSb$
3	$\begin{cases} A \rightarrow aB \\ A \rightarrow b \\ A \rightarrow \varepsilon \end{cases}$

type 0 No restriction on

$$P \subset (X \cup V)^* V (X \cup V)^* \times (X \cup V)^*.$$

type 1 (*context-sensitive* grammars) All rules of  $P$  are of the shape  $(u_1 S u_2, u_1 m u_2)$ , where  $u_1$  and  $u_2 \in (X \cup V)^*$ ,  $S \in V$  and  $m \in (X \cup V)^+$ .

type 2 (*context-free* grammar) All rules of  $P$  are of the shape  $(S, m)$ , where  $S \in V$  and  $m \in (X \cup V)^*$ .

type 3 (*regular* grammars) All rules of  $P$  are of the shape  $(S, m)$ , where  $S \in V$  and  $m \in X.V \cup X \cup \{\varepsilon\}$ .

$$\mu_1 S \mu_2 \rightarrow \mu_1 m \mu_2$$

$$A \rightarrow aA$$

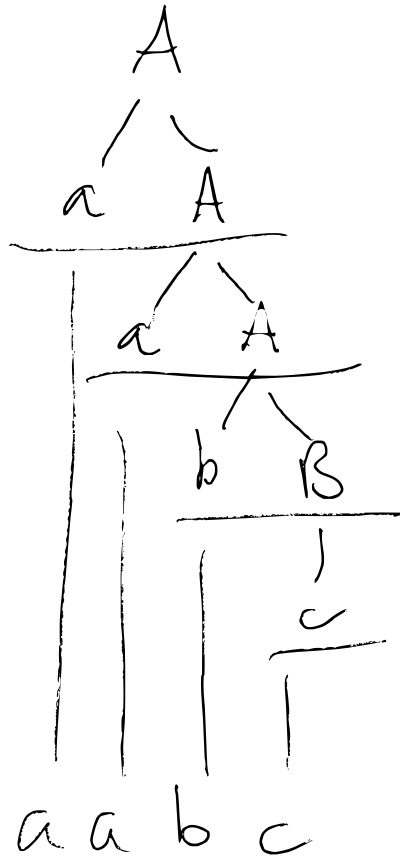
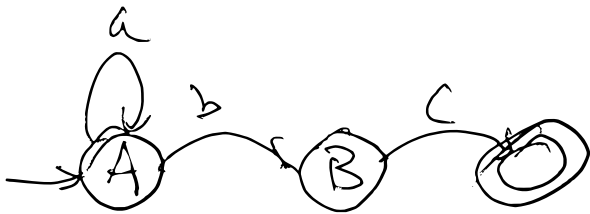
axiom: ~~S~~ S

$$A \rightarrow aA$$

$$| bB$$

$$B \rightarrow c$$

$$S \rightarrow A$$
$$| B$$



# Examples

type 3:

$$S \rightarrow aS \mid aB \mid bB \mid cA$$
$$B \rightarrow bB \mid b$$
$$A \rightarrow cS \mid bB$$

# Examples

type 3:

$$S \rightarrow aS \mid aB \mid bB \mid cA$$

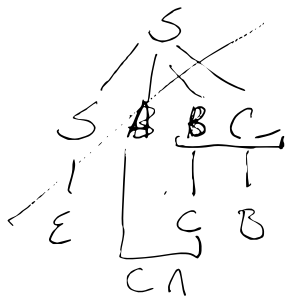
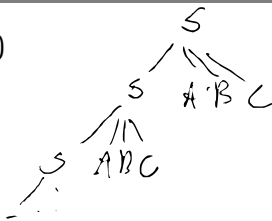
$$B \rightarrow bB \mid b$$

$$A \rightarrow cS \mid bB$$

type 2:

$$E \rightarrow E + T \mid T, T \rightarrow T \times F \mid F, F \rightarrow (E) \mid a$$

## Example 1 type 0



Type 0:

 $S \rightarrow SABC \quad AC \rightarrow CA \quad A \rightarrow a$  $S \rightarrow \varepsilon \quad CA \rightarrow AC \quad B \rightarrow b$  $AB \rightarrow BA \quad BC \rightarrow CB \quad C \rightarrow c$  $BA \rightarrow AB \quad CB \rightarrow BC$ 

generated language :

$$S \rightarrow SABC \rightarrow ABC \rightarrow BAC \rightarrow BCA \xrightarrow{*} bac$$

# Example 1 type 0

Type 0:

$S \rightarrow SABC \quad AC \rightarrow CA \quad A \rightarrow a$

$S \rightarrow \varepsilon \quad CA \rightarrow AC \quad B \rightarrow b$

$AB \rightarrow BA \quad BC \rightarrow CB \quad C \rightarrow c$

$BA \rightarrow AB \quad CB \rightarrow BC$

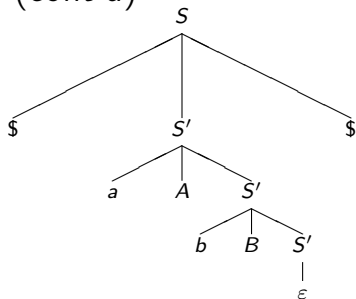
generated language : words with an equal number of  $a$ ,  $b$ , and  $c$ .

## Example 2: type 0

Type 0:  $S \rightarrow \$S'\$$     $Aa \rightarrow aA$     $\$a \rightarrow a\$$   
 $S' \rightarrow aAS'$     $Ab \rightarrow bA$     $\$b \rightarrow b\$$   
 $S' \rightarrow bBS'$     $Ba \rightarrow aB$     $A\$ \rightarrow \$a$   
 $S' \rightarrow \epsilon$     $Bb \rightarrow bB$     $B\$ \rightarrow \$b$   
 $\$\$ \rightarrow \#$



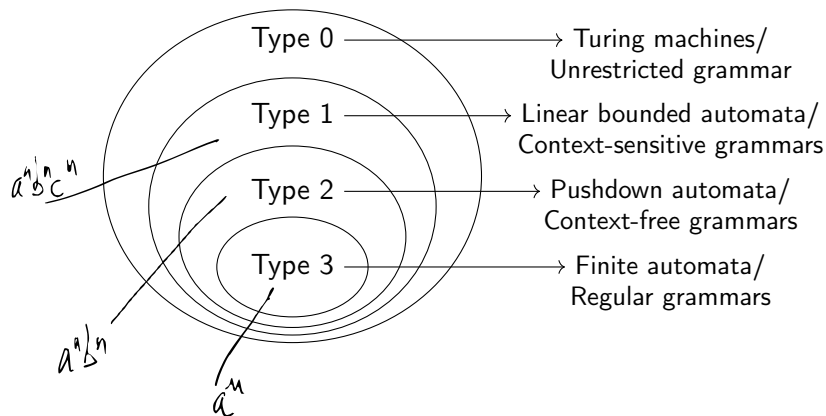
## Example 2: type 0 (cont'd)



\$	a	A	b	B	\$
a	\$	A	b	B	\$
a	\$	A	b	\$	b
a	\$	b	A	\$	b
a	b	\$	A	\$	b
a	b	\$	\$	a	b
a	b	#	a		b

$$S \rightarrow a^i b^j c^k \mid \epsilon$$

# The Chomsky-Schützenberger hierarchy



## Remarks

- ▶ Type 0 (Turing-recognizable) = recursively enumerable languages  
Type 1 (Turing-decidable) = recursive languages
- ▶ There are others ways to classify languages,
  - ▶ either on other properties of the grammars;
  - ▶ or on other properties of the languages
- ▶ Nested structures are preferred, but it's not necessary

## The parsing problem: finding derivations

- ▶ Given a grammar  $G$  on some alphabet  $\Sigma$ ...

- ▶ The **parsing problem** for  $G$ :

Given some  $w \in \Sigma$ ,  
what are the derivations (if any) of  $w$  in  $G$ ?

- ▶ (Solving the parsing problem for  $G$  entails solving the recognition problem for  $\mathcal{L}(G)$ .)

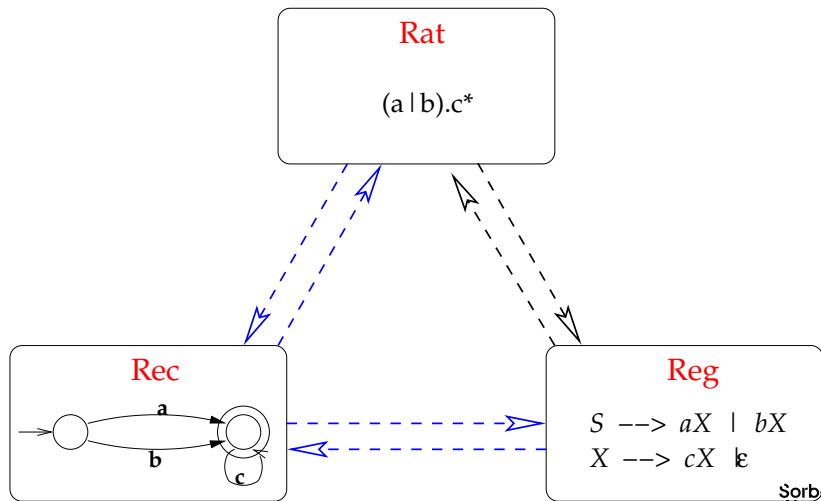
## Syntactic complexity vs semantic expressivity

- ▶ Context-free grammars are commonly used to describe the syntax of many logical languages ( PL, FOL), some programming languages, and parts of NL (→ Day 2).
- ▶ Untyped  $\lambda$ -calculus: CF syntax, Turing-complete semantics. “How is this possible?”
- ▶ → The syntactic complexity and the semantic expressivity of interpreted languages are two distinct notions.
- ▶ Jot ([https://en.wikipedia.org/wiki/Iota\\_and\\_Jot](https://en.wikipedia.org/wiki/Iota_and_Jot)) is  $\{0, 1\}$ , a regular language, compositionally interpreted as a Turing-complete language.

## The recognition/parsing problems are very general

- ▶ Consider any binary (“yes/no”) problem  $P$  and see it as the set of inputs for which the answer is positive.
- ▶ Let  $str$  be a linearisation function for the possible inputs of  $P$ , and  $L = \{str(in) \mid in \in P\}$ .
- ▶ Solving  $P$  is equivalent to the recognition problem for  $L$ .
- ▶ More generally, any computable function  $f$  can be encoded as a grammar s.t. after parsing the input  $w$ , the output  $f(w)$  can be read off the derivation.
- ▶  $\rightarrow$  One can compute “syntactically”: a grammar is a program. (The parser is the machine that runs it.)
- ▶ The formalism of unrestricted grammars is a Turing-complete programming language. (syntactically regular?)

## Back to regular languages



## Let's play with grammars

For each of the following grammars, give the generated language, and the type they have in Chomsky's hierarchy.

$$\begin{aligned} S &\rightarrow S_1 S_2 \\ S_1 &\rightarrow a S_1 b \mid ab \\ S_2 &\rightarrow c S_2 \mid c \end{aligned}$$

$$\begin{aligned} S &\rightarrow a S B C \\ S &\rightarrow a B C \\ C B &\rightarrow B C \\ a B &\rightarrow ab \\ b B &\rightarrow bb \\ b C &\rightarrow bc \\ c C &\rightarrow cc \end{aligned}$$



## Let's play with grammars (cont'd)

Give a context-free grammar that generates each of the following languages (alphabet  $\Sigma = \{a, b, c\}$ ).

- ▶  $L_0 = \{w \in X^* / w = a^n ; n \geq 0\}$
- ▶  $L'_0 = \{w \in X^* / w = a^n b^n c a ; n \geq 0\}$
- ▶  $L_1 = \{w \in X^* / w = a^n b^n c^p ; n > 0 \text{ et } p > 0\}$
- ▶  $L_2 = \{w \in X^* / w = a^n b^n a^m b^m ; n, m \geq 1\}$
- ▶  $L'_3 = \{w \in X^* / |w|_a = |w|_b\}$
- ▶  $L_3 = \{w \in X^* / |w|_a = 2|w|_b\}$
- ▶  $L_4 = \{w \in X^* / \exists x \in X^* \text{ tq } w = x\bar{x}\}$
- ▶  $L_5 = \{w \in X^* / w = \bar{w}\}$

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